

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lawrence L. Case et al

Attorney Docket No.: 001.3002 (SC13049TH)

Serial No.: Unknown

Art Unit: Unknown

Filing Date: March 1, 2004

Examiner: Unassigned

For: AUTONOMOUS RUN-TIME CODE INTEGRITY CHECKER

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

In accordance with 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §§1.97 and 1.98, the references listed on attached Form PTO/SB/08 and/or subsequently identified herein, are being submitted herewith for consideration by the United States Patent and Trademark Office.

I. COPIES

- a. ☐ A legible copy of (i) each U.S. and foreign patents; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed, is included herewith.
- b. ☐ Any patents, publications or other information which are listed on PTO/SB/08 which are not enclosed herewith were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. §120:

U.S. Serial Number

U.S. Filing Date

II. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

- a. ☒ Except as may be indicated below in (b) of this section, all of the patents, publications or other information are in the English language (concise explanation not required).
- b. ☐ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. ☐ The following additional information is provided for the Examiner's consideration:

III. ☐ CROSS REFERENCE TO RELATED APPLICATION(S)

The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this (these) applications to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. §122.

Serial No.

Filing Date

Art Unit

FEES

IV. ☒ THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(b): (check one box)

- a. ☒ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. §1.97(b)(1)).
No fee or statement is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. §1.97(b)(2)). No fee or statement is required.
- c. ☐ before the mailing date of a first Office Action on the merits (37 C.F.R. §1.97(b)(3)). No fee or statement is required.
- d. ☐ before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.

V. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(c): (check one box)
before the mailing date of either a Final Office Action under 37 C.F.R. §1.113
(See 37 C.F.R. §1.97(c)), or a Notice of Allowance under 37 C.F.R. §1.311 (See
37 C.F.R. §1.97(c)).

- a. ☐ No statement; therefore, charge deposit account 50-2117 the fee set forth
in 37 C.F.R. §1.17(p).
- b. ☐ See the statement below. No fee is required.

VI. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(d):

on or before payment of the issue fee and is accompanied by the following:

- 1) a statement under 37 C.F.R. §1.97(e) as provided below; and
- 2) charge deposit account 50-2117 the petition fee set forth in §1.17(i).

VII. Statement under 37 C.F.R. §1.97(e) (check only one box, if applicable)
The undersigned hereby states that

- a. ☐ each item of information contained in the IDS was cited in a
communication from a foreign Patent Office is a counterpart foreign
application not more than three months prior to the filing of IDS; or
- b. ☐ no item of information contained in the IDS was cited in a communication
from a foreign Patent Office in a counterpart foreign application, and to
knowledge of the person signing the statement after making reasonable
inquiry, no item of information contained in the IDS was known to any
individual designated in 37 C.F.R. 1.56(c) more than three months prior to
the filing of this statement, or
- c. ☐ some of the items of information contained in the IDS were cited in a
communication from a foreign Patent Office. As to this information, the
undersigned states that each item of information contained in the IDS was
cited in a communication from a foreign Patent Office in a counterpart
foreign application not more than three months prior to the filing of this
IDS. As to the remaining information, the undersigned hereby states that
no item of this remaining information contained in the IDS was cited in a
communication from a foreign Patent Office in a counterpart foreign
application or, to the knowledge of the person signing the statement after
making reasonable inquiry, no item of information contained in the IDS
was known to any individual designated in 37 C.F.R. 1.56(c) more than
three months prior to the filing of this statement.

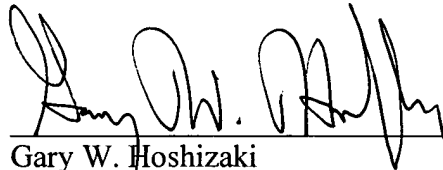
VIII. PAYMENT OF FEES

- ☐ A check in the amount of _____ is enclosed for the above-identified fee(s).
- ☐ Please charge Deposit Account No. 50-2117 in the amount of \$180.00 for the above-indicated fee(s).
- ☒ If Applicant has overlooked any additional fees, or if any overpayment has been made, the Commissioner is hereby authorized to credit or debit Deposit Account 50-2117.
- ☐ Two Copies of this paper are attached for Deposit Account charges and debits.

The above references are being cited only in the interests of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in a combination, to a person of ordinary skill in the art.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-2117.

Respectfully submitted,
Lawrence L. Case et al



Gary W. Hoshizaki
Attorney for Applicant(s)
Reg. No. 37,356
Tel. 480-385-5060

INGRASSIA FISHER & LORENZ, P.C.
Customer Number 29906

Enclosures: ☒ PTO/SB/08A
☐ References
☐ Foreign Search Report
☐ Other:

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	Unknown
				Filing Date	03/01/2004
				First Named Inventor	Lawrence L. Case
				Group Art Unit	Unknown
Examiner Name	Unknown				
Sheet	1	of	1	Attorney Docket Number	001.3002 (SC13049TH)

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (if known)			
		US-6,587,947	B1	O'Donnell et al	07-01-2003	
		2003/0014639		Jackson et al	01-16-2003	
		2003/005552		Akins et al	03-20-2003	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³ Code ²	Number ⁴	Kind (if known)				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation, if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.